

# Plaque Rupture and Stabilization in Coronary Artery: Current Concepts and Therapeutic Implications

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**LEUNG: Plaque Rupture and Stabilization in Coronary Artery: Current Concepts and Therapeutic Implications.** Rupture of an atherosclerotic plaque has become the most important mechanism leading to acute coronary syndrome. A plaque that is prone to rupture has certain characteristic structural, cellular and molecular features, all of which indicate a state of active inflammation within the plaque. The vulnerability of a plaque to rupturing is related to multiple factors including chronic inflammation or infection, oxidative stress, and mechanical and haemodynamic stresses. Once a plaque ruptures, thrombus formation occurs, which can be occlusive or non-occlusive, depending on the thrombogenic and haemodynamic factors. Plaque stabilization is a concept of how to prevent plaque rupture. Lipid-lowering drugs have been investigated and found to have various stabilizing effects including change of plaque composition, improvement in endothelial function, and benefit in thrombosis and fibrinolysis. Other therapies also play their own roles, but are less studied. Major clinical trials on lipid-lowering drugs, especially those published recently, have given important support to this concept. We are going to see in the near future further advances in the understanding, detection and treatment of plaque rupture. (*J HK Coll Cardiol* 2001;9:45-54)

*Atherosclerosis, acute coronary syndrome, endothelial dysfunction, lipid lowering, plaque rupture, plaque stabilization*

## 摘要

動脈硬化斑塊的破裂是導致急性冠狀動脈綜合徵的最重要的機制。斑塊在破裂前有著其特定的結構上、細胞上乃至分子生物學上的性質，而這一切均預示著斑塊內急性炎症的發生。斑塊是否易於破裂與多種因素有關，包括慢性炎症或感染、氧化反應、以及機械和血流動力學的應激狀況。一旦斑塊破裂，血栓將逐步形成，依據血栓和血流動力學的特性可分為阻塞型與非阻塞型。斑塊穩定這一概念涉及如何防止斑塊的破裂。對降脂藥物的研究發現該類藥物有著多種穩定作用，如改變斑塊的構成，提高內皮細胞的作用，有助於溶栓和纖溶。其他的治療有著其各自的作用，但研究相對較少。圍繞降脂藥物的臨床試驗，尤其是近期頒佈的，對這概念提供了重要理論支援。我們期待著在不久的將來對斑塊破裂的理解、診察與治療有進一步的進展。

關鍵詞：動脈硬化 急性冠狀動脈綜合徵 內皮細胞失功能 降脂 斑塊破裂 斑塊穩定

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## Introduction

Atherosclerosis is the most frequent cause underlying ischaemic heart disease. Atherosclerosis, by itself, however, is rarely fatal. It is the acute coronary syndrome, which is caused by acute thrombosis superimposed on an atherosclerotic plaque, that causes the potentially lethal consequences.<sup>1,2</sup> According to the traditional view, the pathogenesis of an acute coronary syndrome was related to the progression of the atherosclerosis in a coronary artery, until the lumen narrowed to the point where addition of a few platelets and a small thrombus clot could obstruct the artery. In other words, total obstruction was preceded by high-grade stenosis, and a stable plaque became unstable by being more obstructive. This concept had changed.

Several trials,<sup>3-5</sup> which studied the grade of stenosis of atherosclerotic plaques in coronary arteries in relation to subsequent myocardial infarctions, concluded that the severity of stenosis on angiography did not accurately predict the location of a subsequent coronary occlusion. For example, in 1988, Little and colleagues studied 42 consecutive patients who underwent coronary angiography before and up to a month after having an acute myocardial infarction.<sup>4</sup> They concluded that most of the infarctions resulted from coronary occlusions that had previously shown stenosis of less than 50% on angiography. Another support came from trials of lipid-lowering therapies aimed at regression of plaque studied angiographically.<sup>6-8</sup> The clinical benefit of these trials was disproportionately greater compared with the relatively trivial change in the degree of plaque stenosis. Thus, factors other than the reduction of the degree of stenosis may be more important in the process of stabilizing an otherwise active atherosclerotic plaque, whether it is of high grade stenosis or not.

With these studies emerged the concept of the vulnerable atherosclerotic plaque. In the majority of cases, coronary thrombosis takes place in a rupture of a fibrous cap overlaying a plaque with a lipid core. However, in up to 25% of cases, thrombosis may result from superficial erosion, in which a thrombus overlays on deendothelialized smooth muscle cell and proteoglycan-rich matrix, without a lipid core.<sup>9-12</sup> Although the prevalence of plaque erosion with superimposed thrombus is higher in victims of sudden death especially in smokers, the young, women, and diabetic populations,<sup>11,12</sup> the pathogenesis related to plaque

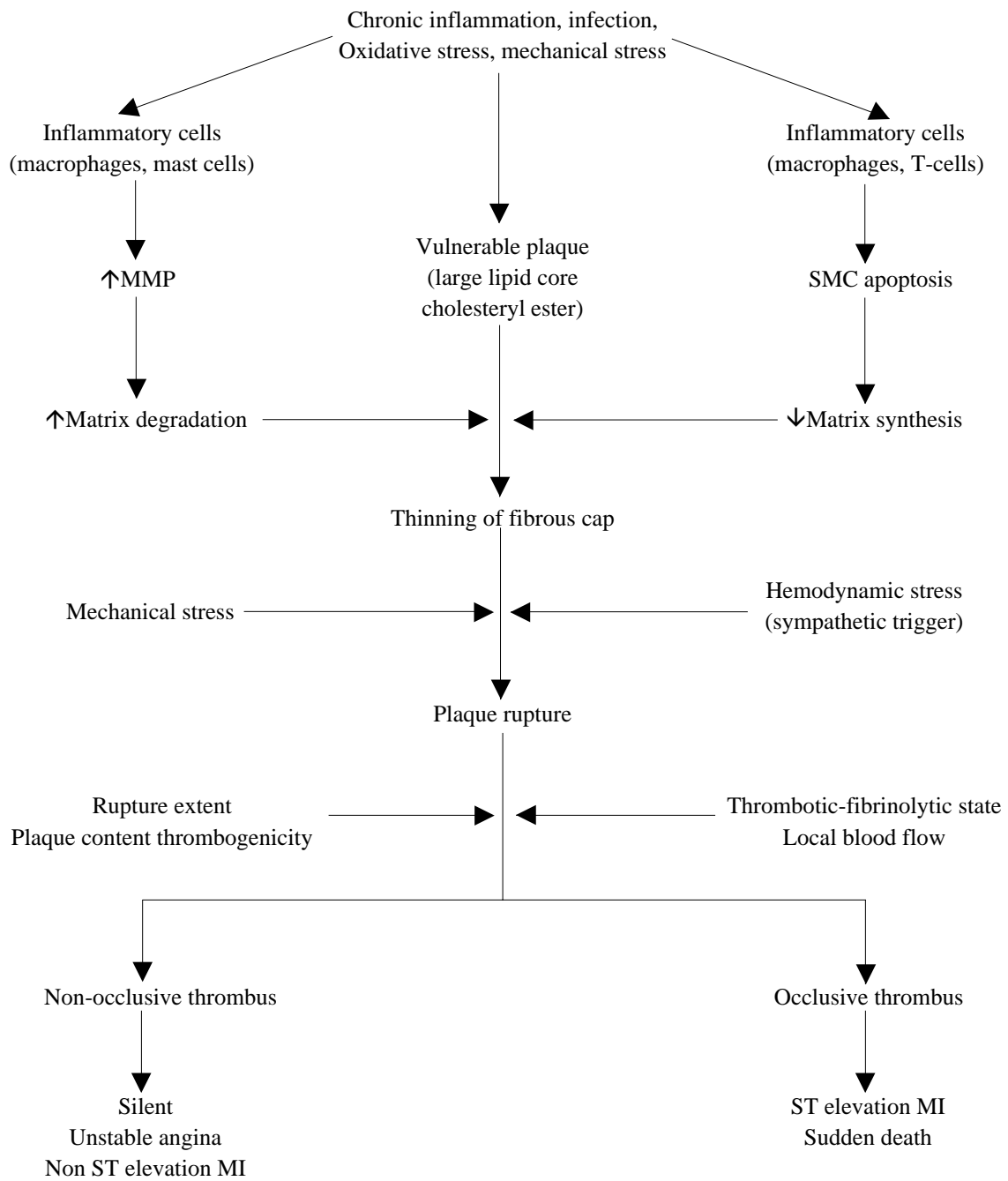
erosion is still largely undetermined. The pathogenesis related to plaque rupture, on the other hand, is more established. Thus the discussion will be concentrated on how a plaque ruptures and why it becomes vulnerable. This process is complex and involves the interaction of many factors, which can be grouped into several headings, including plaque morphology, on-going inflammation, and triggering factors. This is depicted in a simplified flow-chart (Figure 1).

## Plaque Morphology

Features of rupture-prone plaques are shown in Table 1. More than 70% of the average atherosclerotic plaque is composed of extracellular matrix, whereas lipid forms a much smaller component. Plaques prone to rupture, however, have a much larger lipid core in general.<sup>13</sup> Davies and coworkers found a relation between size of lipid core and plaque disruption in aortic plaques in human and identified a critical threshold: intact aortic plaques containing a core occupying more than 40% of the plaque were considered particularly vulnerable and at high risk of rupture and thrombosis.<sup>14</sup> The nature of the lipid present in a plaque may also be a factor. Lipid in the form of cholesteryl ester softens the plaque, whereas crystalline cholesterol may have the opposite effect.<sup>15</sup> The fibrous cap is a critical structure; when intact, it prevents the exposure of the thrombogenic components of the plaque to circulating blood. Fibrous caps vary considerably in thickness and tend to be

**Table 1. Features of rupture-prone plaques**

Morphological
Large lipid-rich core
Thin fibrous cap
Biochemical
Reduced collagen content
Cholesteryl ester
Local chronic inflammation
Increased macrophage density and activity
T-lymphocyte accumulation near sites of rupture
Increased neovascularization
Reduced density of smooth muscle cells
Increased number and activity of mast cells
Expression of markers of inflammatory activation
Matrix metalloproteinase secretion
Increased tissue-factor expression



**Figure 1.** A summary of the pathophysiology of plaque rupture and its consequences. MMP=matrix metalloproteinase; SMC=smooth muscle cell; MI=myocardial infarction

thinnest at the shoulder regions, where most plaque ruptures tend to occur.<sup>16</sup>

## Inflammation and Apoptosis

Ruptured plaques contain a greater number of inflammatory cells, often in the fibrous cap and around the lipid core, with a preferential concentration at the rupture-prone shoulder regions.<sup>14,17-19</sup> The inflammatory cells, such as activated macrophages, mast cells and T lymphocytes, will trigger production of cytokines and matrix-degrading proteins. The cytokines, such as interferon- $\gamma$ , tumor necrosis factor and interleukin-1, are themselves pro-inflammatory and can trigger more cellular and molecular activities.<sup>20</sup> The matrix-degrading proteins are a family of structurally related proteases involved in the regulation of matrix turnover and are collectively termed as matrix metalloproteinases (MMPs).<sup>21,22</sup> Besides macrophages and T lymphocytes, other cells like smooth muscle cells can also trigger MMPs. The ultimate result is a weakening of the connective-tissue framework of the plaque, including the fibrous cap which is therefore predisposed to rupture. The MMP production is tightly regulated in part by co-secretion of tissue inhibitors of MMPs by smooth muscle cells, which can neutralize the effects of MMPs.<sup>20</sup>

Apart from matrix degradation, the thinning of fibrous cap is also related to paucity of matrix synthesis, a process performed by smooth muscle cells. This is supported by data showing a reduced density of smooth muscle cells in the ruptured fibrous cap.<sup>23</sup> The precise mechanism is unknown, but one possibility could be programmed cell death or apoptosis.<sup>24,25</sup> Apoptosis may be mediated by cytokines as produced by inflammatory cells.<sup>20,26</sup> In one example, interferon- $\gamma$  produced by activated T-lymphocytes has been shown to inhibit smooth muscle cell collagen gene expression, which leads to a reduction of smooth muscle cell growth and proliferation.<sup>26</sup>

## Triggers of Inflammation or Rupture

The process that leads to the initiation, growth, composition and rupture of an atherosclerotic plaque is a continuous and on-going complexity. Many of the factors that are associated with the trigger of rupture

are also factors responsible for producing inflammation within an atherosclerotic plaque. A brief but integrated description is hereby given to introduce the possible factors that may either cause inflammation or trigger rupture, or both.

## Chronic Inflammation and Infections

The precise triggers for inflammation in atherosclerosis remain to be defined. A number of inflammatory mediators, such as plasma fibrinogen, C-reactive protein (CRP), amyloid A protein, and interleukin-6, have been associated with a higher risk of acute coronary events.<sup>27</sup> Data from the Physicians Health Study indicate that baseline plasma CRP level can predict future coronary events.<sup>28</sup> Whether these acute-phase reactants have a direct vascular effect or are simply markers of systemic inflammation is not yet settled,<sup>29</sup> but there are more and more evidences towards the direct pro-inflammatory effect of CRP in the pathogenesis of atherosclerosis.<sup>30-32</sup>

Several infectious agents have gained increasing interest as potential provocateurs of plaque destabilization. Examples are *Chlamydia pneumoniae*, *Helicobacter pylori*, Herpes simplex virus and Cytomegalovirus.<sup>33</sup> Some of the associations may be invalidated because of a high prevalence of these infections in the general population. Of particular interest is *C. pneumoniae* infection, because the pathogen has been identified in atherosclerotic plaques,<sup>34</sup> and two small intervention studies with macrolide antibiotics reported reduced coronary events in treated patients.<sup>35,36</sup> Results from the big ACADEMIC trial, which studied the use of azithromycin in patients with coronary artery disease and positive titres to *C. pneumoniae*, however, were not convincing.<sup>37</sup>

## Oxidative Stress

Endothelial dysfunction is an important concept that triggers the formation of atherosclerosis as well as the inflammation of the existing atherosclerotic plaques. A number of insults may be responsible for endothelial injury. One mechanism is by increasing oxidative stress, which relates to the appearance of reactive oxygen species or oxygen free radicals that fail to be neutralized by nitric oxide or scavenged by antioxidants.<sup>38</sup> Hypercholesterolaemia, hypertension, hyperglycaemia, smoking and hyperhomocysteinaemia can all increase oxidative stress.<sup>39-43</sup> One method by which reactive

oxygen species exert their toxicity is by oxidation of low-density lipoprotein (LDL) to form oxidized LDL (oxLDL), a process called lipid peroxidation. OxLDL is taken up by macrophages to form lipid-laden macrophages, or foam cells, that contribute to plaque formation.<sup>44</sup> OxLDL (or oxidative stress) may also promote plaque inflammation and rupture by producing cytokines, enhancing thrombus formation, impairing fibrinolysis and promoting smooth muscle cell proliferation.<sup>45</sup> OxLDL has also been shown to be cytotoxic for and to promote apoptosis of smooth muscle cells.<sup>46,47</sup>

### **Mechanical and Haemodynamic Stresses**

A variety of local mechanical and haemodynamic forces subject coronary plaques to different stresses. These include compressive pressure forces, tensile stretch forces, and tangential frictional (or shear) stresses. In particular, alterations of shear stress on arterial wall have a great impact on endothelial function and atherosclerosis. Shear stress of physiological magnitude is atheroprotective. Regions of disturbed flow with low or reversed shear stress lead to plaque progression.<sup>48</sup> High shear stress contributes significantly to plaque rupture.<sup>49</sup> The shear stress is largely determined by arterial geometry (branches and curvature), blood flow pulsatility and blood flow rates.<sup>50</sup> Once a plaque exists, it can alter the local shear stress and this perpetuates plaque growth or triggers plaque rupture in a self-amplifying manner.<sup>50</sup>

Shear stress may trigger plaque rupture spontaneously due to cap fatigue, a phenomenon analogous to metal fatigue, after plaques are subjected to repetitive bending, compression, stretching, flexion, or fluctuating pressure.<sup>51,52</sup> Rupture takes place at the point of greatest weakness (or greatest stress), which is usually the shoulder region of the fibrous cap.<sup>53</sup>

Plaque rupture may also be triggered by certain events. Half of patients with myocardial infarction report a trigger event, most often emotional stress or physical activity.<sup>54</sup> This may be due to a sudden rise in sympathetic activity with an increase in blood pressure, heart rate, force of cardiac contraction, or coronary blood flow, all of which can suddenly increase local mechanical stresses.<sup>55</sup> Burke and his colleagues studied 25 men with severe coronary artery disease who died during strenuous activity or emotional stress as compared with 116 whose deaths occurred at rest.<sup>11</sup> Plaque rupture

was found significantly more in men dying during exertion against those dying at rest.

### **Consequences of Rupture**

Plaque rupture leads to various degrees of thrombus formation. Thrombosis may result in unstable angina, myocardial infarction, or sudden death. However, if the plaque rupture is minor, thrombus formation may be minimal, making the rupture clinically silent. Indeed, plaque disruption is a common event that often occurs during the development of atherosclerotic lesions and it is in the majority of cases clinically silent. In up to 8% of patients with coronary atherosclerosis who died of non-cardiovascular causes, a small, recent plaque disruption was found at autopsy.<sup>56</sup> The numbers increase to 22% in patients with diabetes or hypertension. The magnitude of thrombotic response also depends on the thrombogenicity of the exposed plaque components, local flow condition, and the systemic thrombotic-thrombolytic milieu at the time of plaque rupture.<sup>57-59</sup> Asymptomatic thrombus formation on a ruptured plaque may seal off the rupture and become part of the underlying plaque. This may be an important mechanism of plaque growth and may contribute to the evolution of mature plaques and the symptoms in chronic stable angina.<sup>60</sup>

### **Stabilization by Statins**

From the angiographic trials using lipid-lowering agents,<sup>6-8</sup> the discordance between the substantial reduction in clinical events and the minor reduction in coronary stenosis warranted an explanation. This has led to the concept of plaque stabilization: by changing the biology of a plaque through risk-factor modification or drug intervention, the plaque is stabilized and its rupture can be avoided, and the process can reduce the incidence of clinical events. Among drugs with possible plaque-stabilizing effects, the statins are probably the most studied. Several mechanisms have been postulated, including change of plaque composition, improvement in endothelial function, and a favorable effect on thrombosis and fibrinolysis. The latter two mechanisms produce initial benefits, while effects on plaque composition is operative later.<sup>61</sup> These mechanisms are

discussed below. Most, but not all, of the beneficial effects of statins are probably related to their lipid lowering effect.<sup>62</sup>

### Shift of Plaque Composition

The lipid content of atherosclerotic plaques can be altered by lipid lowering, probably at physical, cellular and biochemical levels. In the experimental model of atherosclerosis, lipid lowering results in disappearance of macrophages and foam cells from plaques, depletion of cholesterol ester with consequent reduction in the lipid core, and an increase in the content of mature collagen.<sup>63-65</sup> In primates with diet-induced atherosclerosis, the lipid content within plaques begins to decrease 6 months after the normalization of cholesterol values, and at 2 years, 60% of plaque cholesterol is depleted.<sup>63</sup> At the molecular level, a decrease in MMP activity is observed.<sup>66</sup> Lipid lowering therapy may thus decrease the formation of lipid-rich plaques and promote reduction of lipid content of pre-existing lipid-rich plaques.

### Endothelial Function Improvement

As mentioned, high cholesterol is associated with endothelial dysfunction and atherosclerosis, which is attributed to oxidative stress and oxLDL. Statins have been shown to improve endothelium-dependent vasomotor function in experimental models, in uncontrolled clinical studies, and in randomized controlled trials.<sup>67-70</sup> Dupuis and colleagues demonstrated in a randomized controlled study that statins could improve endothelial function after 6 weeks of therapy.<sup>70</sup> Andrews and colleagues objectively demonstrated a decrease in myocardial ischemia during daily life (by ambulatory ECG monitoring of ST-segment depression episodes) as a result of cholesterol lowering with a statin compared with placebo.<sup>71</sup> The benefit appeared only after 4 to 6 months of therapy, antedating any structural evidence of atherosclerosis regression.

### Thrombogenicity and Fibrinolysis

High cholesterol is associated with enhanced platelet reactivity as a result of several mechanisms, including lipid peroxidation, enhanced thromboxane production, and alterations of platelet cell membrane and cytosolic calcium.<sup>72</sup> The statins have been shown

to decrease ADP-induced platelet aggregation, thromboxane B<sub>2</sub> production, and cytosolic calcium in platelets.<sup>73-75</sup> Statins also reduce blood viscosity, and this may enhance more rapid blood flow across the plaque-laden arterial lumen.<sup>76</sup> Finally, statins may decrease plasminogen activator inhibitor type-1 production, which has anti-fibrinolytic effect.<sup>77</sup>

### Stabilization by Other Therapies

Besides lipid-lowering agents, other interventions may have similar effects. Angiotensin-converting enzyme inhibitors, through their local tissue-specific effects, may reduce oxidative stress to the endothelium by increasing bradykinin and by up-regulation of expression of nitric oxide synthase.<sup>78</sup> Trials on quinapril, for example, have shown significant improvement in endothelial function.<sup>79,80</sup> Anti-oxidants, oestrogen replacement therapy, smoking cessation, avoidance of psychosocial stress, and blood pressure lowering have all been suggested.<sup>81-84</sup> Avoidance of trigger events such as strenuous exercise may prevent plaque rupture. Nevertheless, only a small fraction of all myocardial infarctions (about 5%) is related to vigorous exertion.<sup>85</sup> Moreover, regular exercise may retard plaque progression and seems to provide protection against myocardial infarction and coronary deaths, at least in part by eliminating the triggering effect of sudden vigorous exertion (exercise pre-conditioning).<sup>86,87</sup> With a better understanding of the molecular bases of vulnerable plaque, gene therapy to stabilize the plaque may be an exciting possibility. Possible strategies include over-expression of tissue inhibitors of MMP, anti-sense therapy to block pro-inflammatory molecules such as nuclear factor  $\kappa$ B, and over-expression of nitric oxide synthase.<sup>88,89</sup>

### Support by Major Clinical Trials

For many years, the management of coronary artery disease has been guided by the severity of stenosis, as assessed by coronary angiography. Severe stenoses are managed by balloon angioplasty or bypass surgery. This approach, however, cannot detect and treat those plaques which are not severely stenotic but which are

prone to rupture. The concept of plaque stabilization, on the other hand, is an attractive alternative in reducing the consequences of acute coronary events. The evidence mentioned so far can serve to provide supporting data only from laboratory or from small-scaled clinical studies with surrogate endpoints. What we need is rigorous validation of this concept in big clinical trials with major endpoints.

In the landmark studies of the statin drugs in primary and secondary preventions of coronary artery disease, a dramatic decrease in overall and cardiovascular mortality were shown.<sup>90-92</sup> However, analyses on coronary bypass surgery and percutaneous revascularization did not show the same benefit.<sup>93,94</sup> This is an indirect evidence of the benefit of lipid-lowering as compared to revascularization. The AVERT trial, although small in scale (341 patients), is the first to compare aggressive lipid-lowering therapy with mechanical revascularization in the management of chronic coronary artery disease.<sup>95</sup> The time to first ischaemic event was significantly delayed in the drug treatment arm and the separation was noted after 6 months of treatment. The exact mechanism causing such a difference is unknown. The effect of lipid-lowering on plaque stabilization, however, may play a major role.

Another trial of aggressive lipid-lowering therapy, the MIRACL trial, was designed for acute rather than chronic cases.<sup>96</sup> The goal is to determine whether early, rapid, and profound cholesterol lowering therapy can reduce early recurrent ischaemic events in patients with acute coronary syndrome. Results were presented recently.<sup>97</sup> 3,086 patients received either atorvastatin 80mg daily or placebo for 16 weeks, initiated between 24 to 96 hours of an acute coronary syndrome. Those treated with atorvastatin experienced a significant reduction in the risk of the primary combined endpoint. This is the first trial to demonstrate that the clinical benefit of aggressive lipid-lowering therapy can be achieved within 4 months following an acute coronary event. This is a major step to solidify the concept of plaque stabilization into clinical benefit. The rapidity of this benefit means that the stabilizing effect on the rupture-prone plaques may have taken place through the improvements in endothelial function and blood coagulability.

## The Coming Future

Our understanding of plaque biology and the triggering of plaque rupture has increased dramatically in the past decade. This knowledge is now being tested in clinical practice and the results are promising. The use of aggressive medical management to stabilize an unstable plaque may become an established alternative way of treating acute coronary syndrome, or it may lessen the need for invasive procedures in some patients. More data, however, is necessary to establish the direct relationship between plaque stabilization and improvement in clinical outcome. There are also studies directed at developing new strategies to stabilize vulnerable plaques. The promise of molecular and genetic therapies in this area is considerable.<sup>88-89</sup> The combination of plaque stabilization and other treatment modalities (such as new anti-thrombotics and anti-platelet agents) may be another possible goal. Finally, a search for new methods to easily and reliably identify vulnerable plaques is necessary.<sup>98-101</sup> Once these plaques are identified, pharmacologic and molecular therapies may allow their stabilization.

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